

PREA AUDIT: AUDITOR'S SUMMARY REPORT

ADULT PRISONS & JAILS

NATIONAL
PREA
RESOURCE
CENTER



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Bureau of Justice Assistance
U.S. Department of Justice

[Following information to be populated automatically from pre-audit questionnaire]

Name of facility:	Arizona State Prison Complex-Safford/Fort Grant		
Physical address:	ASPC Safford/Fort Grant, 896 South Cook Road, Safford Arizona 85546		
Date report submitted:	7 May, 2014		
Auditor Information	Jack Falconer		
Address:			
Email:			
Telephone number:			
Date of facility visit:	28-30 April, 1 May 2014		
Facility Information			
Facility mailing address: (if different from above)			
Telephone number:	(928) 428-4698		
The facility is:	<input type="checkbox"/> Military	<input type="checkbox"/> County	<input type="checkbox"/> Federal
	<input type="checkbox"/> Private for profit	<input type="checkbox"/> Municipal	<input checked="" type="checkbox"/> State
	<input type="checkbox"/> Private not for profit		
Facility Type:	<input type="checkbox"/> Jail	<input checked="" type="checkbox"/> Prison	
Name of PREA Compliance Manager:	Quinten Wood	Title:	PREA Compliance Manager
Email address:	qwood@azcorrections.gov	Telephone number:	928-428-4698
Agency Information			
Name of agency:	Arizona Department of Corrections		
Governing authority or parent agency: (if applicable)			
Physical address:	1601 W. Jefferson, Phoenix, Arizona 85007		
Mailing address: (if different from above)			

Telephone number: (602 542-5497

Agency Chief Executive Officer

Name: Charles L. Ryan

Title: Director

Email address: CRYAN@azcorrections.gov

Telephone number: (602) 542-5225

Agency-Wide PREA Coordinator

Name: Mike J. McCarville

Title: AZ PREA Coordinator

Email address: MMCCARVI@azcorrections.gov

Telephone number: (602) 771-5798

AUDIT FINDINGS

NARRATIVE:

The PREA audit of the Arizona State Prison Complex – Safford/Fort Grant , was conducted on April 28-30, May 1, 2014.

It is the mission of the Arizona Department of Corrections “To serve and protect the people of Arizona by securely incarcerating convicted felons, by providing structured programming designed to support inmate accountability and successful community reintegration, and by providing effective supervision for those offenders conditionally released from prison”.

ASPC Safford is a multi-site facility owned and operated by the Arizona State Department of Corrections. Three separate units are included in the Complex. The Tonto and Graham Units are located 10 miles east of Safford, Arizona. The Fort Grant Unit is located 45 miles southeast of Safford. The description of the facilities is as follows:

Graham Unit The Graham Unit is a 711 bed minimum security facility surrounded by a single woven wire perimeter fence. Inmate housing is provided by dormitory style units. Ancillary spaces include food service, recreation, education, central control, and programs. Medical services are provided at the adjoining Tonto Unit. Showers and commode areas have curtains and privacy panels. The facility has a rigid dress code where all inmates are dressed in a t-shirt and gym shorts at all times when in the dorms except when showering.

The Graham Unit was originally established as the Safford Conservation Center in 1970. The primary emphasis was to provide inmate workers to fight forest fires. In later years, additional beds were added. The mission today is to still provide inmate workers for State and local government purposes.

Tonto Unit The Tonto Unit is a 310 medium security facility surrounded by a double fence with razor ribbon and an electronic intrusion system. The Unit has 3 dormitory structures for inmate housing. Ancillary spaces include central control, food service, religion, medical, programs, recreation, and education. Showers and commode areas have curtains and privacy panels. The facility has a rigid dress code where all inmates are dressed in a t-shirt and gym shorts at all times when in the dorms except when showering.

Fort Grant The Fort Grant Unit has 748 beds minimum security beds and a 49 bed maximum security detention unit. Inmate housing (other than detention) is provided in 9 dormitory structures and 29 cottages that house between 11 and 15 inmates each. The Complex Detention Unit has 49 beds (1 single and 24 double cells). Ancillary spaces include space for administration, programs, education, recreation, medical, central control, maintenance and warehousing. The detention unit is surrounded by a double high security fence and the main unit has a single fence that surrounds the facility. Showers and commode areas have curtains and privacy panels. The facility has a rigid dress code where all inmates are dressed in a t-shirt and gym shorts at all times when in the dorms except when showering.

The history of Fort Grant is very interesting. It was established in 1885 to serve as a Fort for the US Calvary. Over the years, the facility was expanded to serve as the Arizona State Industrial School for male and female juvenile offenders. In 1973, the facility was converted to be an adult correctional facility.

Complex Facility ASPC-Safford also has an administrative unit that provides spaces for the management of the complex. Included are Complex Administration, Complex Operations and Complex Security.

Inmate programs provided by the Complex include inmate work, private sector prison industries, education, religion, medical services, and recreation. The facilities provide Work Based Education, AA degrees, and GED. GED graduates include 26 at Fort Grant, 43 at Graham, and 15 at Tonto. Self-improvement programs include Thinking for a Change, Culture Diversity, Self-Improvement classes. AA, NA, Cognitive Restructuring, Conflict Resolution and Domestic Violence,

The facilities maintenance program is responsible to maintain 203 separate buildings. Staff housing is also provided at each site.

The Correctional Officers provide security supervision.

During the three day on-site audit, the auditor toured the facility, examined additional documentation, and conducted formal staff and inmate interviews. 12 inmates from the housing units, 17 specialized staff, 2 management staff, and 11 Correctional Officers were interviewed using the questions provided in the audit documents.

The Pre-Audit document provided by the facility indicated one alleged issue with staff sexual abuse and no inmate on inmate sexual abuse. The interviewed inmates and staff indicated that the ASPC-Safford Facilities were a safe place to serve time and to work. There were very few complaints from the inmate population or the facility staff.

In this auditor's opinion, the ASPC-Safford was well managed and the staff was well trained and professional in their assignments. The PREA Compliance Manager was very knowledgeable about the PREA requirements and was considered by the auditor to be very effective in meeting the requirements of PREA.

DESCRIPTION OF FACILITY CHARACTERISTICS:

Facility Demographics Arizona State Prison Complex-Safford

	Tonto	Graham	Fort Grant/MDU	Complex
Designed Capacity:	310	711	748/49	
Actual Population on first day of audit:	308	707	647/29	
Average Length of stay	7 years	1 year	1 yr/30-90 dys	
Security/Custody level:	Medium	Minimum	Min/Max	
Gender	Male	Male	Male	
Number of full time staff:	125	69	105	108
Security	73	50	78	36
Programs	5	10	12	22
Support	13	9	15	27
Medical	34			
Maintenance				23
Total Complex	407 FTE			

SUMMARY OF AUDIT FINDINGS:

Number of standards exceeded:	0
Number of standards met:	41
Number of standards not met:	0
Number of standards not applicable	2 (115.14, 115.66)
Total	43

§115.11 - Zero tolerance of sexual abuse and sexual harassment; PREA coordinator

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.12 - Contracting with other entities for the confinement of inmates

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.13 – Supervision and Monitoring

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.14 – Youthful Inmates

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Not Applicable- No one under 18 years of age at this facility

§115.15 – Limits to Cross-Gender Viewing and Searches

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.16 – Inmates with Disabilities and Inmates who are Limited English Proficient

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.17 – Hiring and Promotion Decisions

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.18 – Upgrades to Facilities and Technology

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.21 – Evidence Protocol and Forensic Medical Examinations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.22 – Policies to Ensure Referrals of Allegations for Investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.31 – Employee Training

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.32 – Volunteer and Contractor Training

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.33 – Inmate Education

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.34 – Specialized Training: Investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.35 – Specialized training: Medical and mental health care

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.41 – Screening for Risk of Victimization and Abusiveness

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action).

§115.42 – Use of Screening Information

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.43 – Protective Custody

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.51 – Inmate Reporting

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.52 – Exhaustion of Administrative Remedies

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.53 – Inmate Access to Outside Confidential Support Services

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.54 – Third-Party Reporting

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.61 – Staff and Agency Reporting Duties

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.62 – Agency Protection Duties

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.63 – Reporting to Other Confinement Facilities

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.64 – Staff First Responder Duties

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.65 – Coordinated Response

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.66 – Preservation of ability to protect inmates from contact with abusers

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Not applicable AZ DOC has a union but does not allow collective bargaining.

§115.67 – Agency protection against retaliation

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.68 – Post-Allegation Protective Custody

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.71 – Criminal and Administrative Agency Investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.72 – Evidentiary Standard for Administrative Investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.73 – Reporting to Inmate

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.76 – Disciplinary sanctions for staff

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.77 – Corrective action for contractors and volunteers

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.78 – Disciplinary sanctions for inmates

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.81 – Medical and mental health screenings; history of sexual abuse

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.82 – Access to emergency medical and mental health services

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.83 – Ongoing medical and mental health care for sexual abuse victims and abusers

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.86 – Sexual abuse incident reviews

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.87 – Data Collection

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.88 – Data Review for Corrective Action

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§§115.89 – Data Storage, Publication, and Destruction

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

AUDITOR CERTIFICATION:

The auditor certifies that the contents of the report are accurate to the best of his/her knowledge and no conflict of interest exists with respect to his or her ability to conduct an audit of the agency under review.

Jack Falconer Jack Falconer 7 May , 2014

Auditor Signature

Date